九久证券 Ninth Eternity Securities

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Tel 電話: (852) 3468 8812 Fax 傳真: (852) 3468 8001
Web-site 網址: www.99securities.com.hk

服務收費

交易費用

佣金	交易金額之 0.1% (可議) +HK$ 15 平台費
交易徵費	交易金額之 0.0027% (計至最接近的仙位數)
交易費	交易金額之 0.00565% (計至最接近的仙位數)
財匯局交易徵費	交易金額之 0.00015% (計至最接近的仙位數)
中央結算交收費及其他	交易金額之 0.005% (計至最接近的仙位數) (每宗買賣最低 HK$5)
政府印花稅	交易金額之 0.10% 每宗交易最低 HK$1 (不足$1 當$1 計算)

代理人服務

存倉費	豁免
存入實貨費	轉讓及登記費: 擬轉讓證券價值 × 0.02% (每隻股票/每宗交易最低收費 20 港元)
提取實貨費	每次收取貨值之 0.1%, 最低收費$500 (股票價值以上日收市計算) 另加中央結算收費: 每手 HK$5 ***註 1***
見證人服務	每張 HK$500 (非經本公司提出)
轉讓兼去實物化存入股票 *只適用於無紙證券市場制度下的參與證券	轉手釐印費: 每張股票或轉手紙收取 5 港元 轉讓及登記費: 擬轉讓證券價值 × 0.02% (每隻股票/每宗交易最低收費：20 港元) *前一個交易日的收市價作為計算基礎 交易性去實物化費: 每張股票 5 港元 (每隻股票/每宗交易最低收費：20 港元) *只適用於無紙證券市場制度下的參與證券
透過 USI 無紙證券投資者賬戶存入	豁免

Service Charges

Trade-Related Services Charges

Commission	0.1% per trade (negotiable) +HK$15 platform fee
Transaction levy	0.0027% per trade (to the closest cent)
Trading fee	0.00565% per trade (to the closest cent)
FRC Transaction Levy	0.00015% per trade (to the closest cent)
CCASS stock settlement fee	0.005% per trade (to the closest cent) (min HK$5)
Stamp duty	0.10% per trade min HK$1 per trade (to the closet dollar)

Nominee Services

Custodian fee	Waived
Deposit charge	Transfer and registration fee: Value of securities to be transferred × 0.02% (min HK$20 per stock/ per transaction)
Withdrawal charge	0.1% of on value at previous trading day per stock (min HK$500) + Scrip Fee: HK$5 per lot ***#1***
Witness service	HK$500 per Deed
Transfer and deposit into dematerialized shares *Only applicable to participating securities under the paperless securities market system	Transfer stamp duty: HK$5 per share certificate or deed Transfer and registration fee: Value of securities to be transferred × 0.02% (Min: HK$20 per stock/ per transaction) *Calculated based on the previous trading day's closing price Transactional dematerialization fee: HK$5 per share certificate or deed (min HK$20 per stock/ per transaction)
Deposit via USI (Uncertificated Securities Investor) account	Waived

註 1 碎股亦視作一個買賣單位計算，股票價值以上日收市計算

#1 Odd lots are charged as board lots

九久證券亞洲有限公司對上述收費保留一切決定權如有任何收費之修改，將不會另行通知

NESA Ltd reserves the right to amend the above charges without prior notice.

2026 年 11 月生效

Effect from Nov, 2026

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透過 USI 無紙證券投資者賬戶提取	USI 轉出費用:擬轉讓證券價值 × 0.015% (每隻股票/每宗交易最低收費：100 港元) *前一個交易日的收市價作為計算基礎	Withdraw via USI (Uncertificated Securities Investor) account	USI Withdraw fee: Value of securities to be transferred × 0.015% (Min: HK$100 per stock/ per transaction) *Calculated based on the previous trading day's closing price
存入交收指示	豁免	Settlement Instruction (S.I.) Deposit	Waived
提取交收指示	每種股票收費為其總值之 0.1%, 最低收費 HK$300 (股票價值以上日收市計算) (可議)	Settlement Instruction (S.I.) Withdrawal	0.1% on value of eligible securities at previous trading day closing per stock (min HK$300) (negotiable)
投資者交收指示費	每種股票 HK$5	Investor Settlement Instruction (I.S.I.) Deposit/ Withdrawal	HK$5 per stock
即時貨銀對付交收費	每種股票 HK$100	Real Time Delivery Against Payment	HK$100 per stock
經中央結算強制補購	每宗 HK$2,000 另加 a. 強制性代補購交易之金額 b. 中央結算手續費及 c. 利息	Compulsory share buyback through CCASS	HK$2,000 per trade + a. Cash amount of compulsory share buyback b. CCASS handling charge c. Interest

資本投資者入境計劃

周年申報費用	每年 HK$5,000.00

Capital Investment Entrant Scheme

Annual Return Fee	HK$5,000.00 annually

服務收費

Service Charges

企業行動

行使現金結算認股權證贖回上市票據及債券	豁免
存倉股份合併或分拆	豁免
代收現金股息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK$20, 最高收費 HK$20,000) + 過戶費每手 HK$2
以股代息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK$20, 最高收費 HK$20,000) + 過戶費每手 HK$2
代收紅股/ 供股權/ 認股權證或其他權益	每宗交易 HK$20
經中央結算行使供股權/ 認股權證/認購配售證券或其他權益	每宗交易 HK$500 另加每手 HK$0.80 ***註 2***

Corporate Actions

Exercise of warrants, redemption of listed notes & bond	Waived
Custody of share consolidation/ share splitting	Waived
Cash/ scrip dividend collection	0.5% of each cash amount of dividend (min HK$20, max HK$20,000 per transaction) + scrip fee HK$2 per lot
Scrip dividend	0.5% of each cash amount of dividend (min HK$20, max HK$20,000 per transaction) + scrip fee HK$2 per lot
Collection of bonus issue, right issue, stock warrants	HK$ 20 per transaction
Exercise of right subscription/ stock warrants/ securities placement subscription/ cash offer	HK$500 per transaction+ HK$0.80per lot ***#2***

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申請額外供股	每宗交易 HK$500 另加每手 HK$0.80 ***註 2***	Excess rights application	HK$500 per transaction+ HK$0.80per lot ***#2***
經中央結算行使私有化回購	每宗交易金額之 0.05% 另加每手 HK$0.80 ***註 2*** (每宗交易最低 HK$100)	Exercise of privatization	0.05% per transaction+ HK$0.80 per lot ***#2*** (min HK$100 per transaction)
新股認購	認購新股(電子)HK$100	IPO	HK$100 per application (Electronic)

其他服務收費

現金帳戶借欠利息	滙豐銀行最優惠利率 + 5%	Overdue settlement interest (cash A/C)	HSBC prime rate + 5%
客戶支票退票	每宗退票手續費 HK$500 另加短欠之利息	Client's return cheque charge	HK$500 per cheque+ Interest charge
銀行電子過帳系統	每次 HK$300	Fund transfer by CHATS	HK$300 per transaction
代客追收未領取之股息/紅股/ 供認股權證/或其他權益	每宗 HK$2,000 另加中央結算收費	Claim unclaimed dividends/ bonus share/ stock warrants/ other interests	HK$2,000 + CCASS handling charge
索取月結單副本費用	豁免(最近三個月), 超過三個月前每份收費 HK$50	Photocopying of Statement	Waived (Within last 3 months), HK$50 per copy more than last 3months
郵寄結單收費	$50(每月)	Statement Mailing Fee	HK$50 per month
索取其他文件副本費用	每份 HK$100	Photocopying of documents	HK$100 per cycle or per copy

Other Services

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