

服務收費

交易費用

佣金	交易金額之 0.1% (可議) +HK\$ 15 平台費
交易徵費	交易金額之 0.0027%
交易費	交易金額之 0.00565%
財匯局交易徵費	交易金額之 0.00015%
中央結算交收費及其他	交易金額之 0.002% (每宗買賣最低 HK\$2, 最高 HK\$100)
政府印花稅	交易金額之 0.10% 每宗交易最低 HK\$1

實物股票處理收費

存倉費	豁免
存入實貨費	過戶費用每張 HK\$5 註1
提取實貨費	每次收取貨值之 0.1%, 最低收費\$500 (股票價值以上日收市計算) 另加中央結算收費: 每手 HK\$5 註2 (可議)
見證人服務	每張 HK\$500 (非經本公司提出)

電子交收指示收費

存入交收指示	豁免
提取交收指示	每種股票收費為其總值之 0.1%, 最低收費 HK\$150 (股票價值以上日收市計算)(可議)
投資者交收指示費	每種股票 HK\$5
即時貨銀對付交收費	每種股票 HK\$100

代理人服務

經中央結算強制補購	每宗 HK\$2,000 另加 a. 強制性代補購交易之金額 b. 中央結算手續費及 c. 利息
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資本投資者入境計劃

周年申報費用	每年 HK\$5,000.00
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Service Charges

Trade-Related Services Charges

Commission	0.1% per trade (negotiable) +HK\$15 platform fee
Transaction levy	0.0027% per trade (to the closest cent)
Trading fee	0.00565% per trade (to the closest cent)
FRC Transaction Levy	0.00015% per trade (to the closest cent)
CCASS stock settlement fee	0.002% per trade (min HK\$2, max HK\$100)
Stamp duty	0.10% per trade min HK\$1

Physical Scrip Handling

Custodian fee	Waived
Deposit charge	Transfer stamp duty HK\$5 per deed #1
Withdrawal charge	0.1% of on value at previous trading day per stock (min HK\$500) + Scrip Fee : HK\$5 per log #2 (negotiable)
Witness service	HK\$500 per Deed

Settlement Instruction Charges

Settlement Instruction (S.I.) Deposit	Waived
Settlement Instruction (S.I.) Withdrawal	0.1% on value of eligible securities at previous trading day closing per stock code (min HK\$150) (negotiable)
Investor Settlement Instruction (I.S.I.) Deposit/ Withdrawal	HK\$5 per deed
Real Time Delivery Against Payment	HK\$100 per stock code

Nominee Services

Compulsory share buyback through CCASS	HK\$2,000 per trade + a. Cash amount of compulsory share buyback b. CCASS handling charge c. Interest
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Capital Investment Entrant Scheme

Annual Return Fee	HK\$5,000.00 annually
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註1 客人可沽出即日存入之股票, 款項需於存入股票日起 7 個工作天後才可提取
註2 碎股亦視作一個買賣單位計算, 股票價值以上日收市計算

#1 Deposited physical stock can be sold on the same day, but cash can only be withdrawn after 7 working days
#2 Odd lots are charged as board lots

九久證券亞洲有限公司對上述收費保留一切決定權如有任何收費之修改, 將不會另行通知
2023 年 1 月生效

NESA Ltd reserves the right to amend the above charges without prior notice.
Effect from Jan, 2023

服務收費

企業行動

行使現金結算認股權證 贖回上市票據及債券	豁免
存倉股份合併或分拆	豁免
代收現金股息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$20, 最高收費 HK\$20,000) + 過戶費每手 HK\$2
以股代息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$20, 最高收費 HK\$20,000) + 過戶費每手 HK\$2
代收紅股/ 供股權/ 認股權證或其他權益	每宗交易 HK\$20
經中央結算行使供股權/ 認股權證/認購配售證券或 其他權益	每宗交易 HK\$500 另加每手 HK\$0.80 註2
申請額外供股	每宗交易 HK\$500 另加每手 HK\$0.80 註2
經中央結算行使私有化回購	每宗交易金額之 0.05% 另加每手 HK\$0.80 註2 (每宗交易最低 HK\$100)
新股認購	認購新股(電子)HK\$100

其他服務收費

現金帳戶借欠利息	滙豐銀行最優惠利率 + 5%
客戶支票退票	每宗退票手續費 HK\$500 另加短欠之利息
銀行電子過帳系統	每次 HK\$300
代客追收未領取之股息/ 紅股/ 供認股權證/ 或其他權益	每宗 HK\$2,000 另加中央結算收費
索取月結單副本費用	豁免(最近三個月), 超過三個月前每頁收費 HK\$50
索取其他文件副本費用	每頁 HK\$100

Service Charges

Corporate Actions

Exercise of warrants, redemption of listed notes & bond	Waived
Custody of share consolidation/ share splitting	Waived
Cash/ scrip dividend collection	0.5% of each cash amount of dividend (min HK\$20, max HK\$20,000 per transaction) + scrip fee HK\$2 per lot
Scrip dividend	0.5% of each cash amount of dividend (min HK\$20, max HK\$20,000 per transaction) + scrip fee HK\$2 per lot
Collection of bonus issue, right issue, stock warrants	HK\$ 20 per transaction
Exercise of right subscription/ stock warrants/ securities placement subscription/ cash offer	HK\$500 per transaction+ HK\$0.80per lot #2
Excess rights application	HK\$500 per transaction+ HK\$0.80per lot #2
Exercise of privatization	0.05% per transaction+ HK\$0.80 per lot #2 (min HK\$100 per transaction)
IPO	HK\$100 per application (Electronic)

Other Services

Overdue settlement interest (cash A/C)	HSBC prime rate + 5%
Client's return cheque charge	HK\$500 per cheque+ Interest charge
Fund transfer by CHATS	HK\$300 per transaction
Claim unclaimed dividends/ bonus share/ stock warrants/ other interests	HK\$2,000 + CCASS handling charge
Photocopying of Statement	Waived (Within last 3 months), HK\$50 per page more than last 3months
Photocopying of documents	HK\$100 per cycle or per copy

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